FORM PTO-1390) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 10-2003) 396.46365X00 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (IEknown.
Not Yet Assistate 5 8 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2005/000703 January 20, 2005 January 26, 2004 TITLE OF INVENTION Curved Optical Waveguide and Optical Device APPLICANT(S) FOR DO/EO/US Nobuo MIYADERA Rei YAMAMOTO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. 2. 🔲 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. 🛛 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 🔯 The US has been elected (Article 31). 5. 🔲 A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office(RO/US) 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. \boxtimes is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🔯 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🛛 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🖂 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🛛 A preliminary amendment. 14. 🔲 An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. A power of attorney and/or address letter. 17. 🔲 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. 🔲 A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. 🔯 Other items or information: Figs.: 1-24; Request for Examination and Search; PCT Attachment; Credit Card

Payment Form

AP20 Rec'd PCT/PTO 25 JUL 2006

					NTERNATIONAL APPLICATION NO. PCT/JP2005/000703			ATTORNEY'S DOCKET NUMBER 396.46365X00	
The following fees have been submitted:						CALCULATIONS	PTO USE ONLY		
21. 🖾	21. 🛭 Basic national fee					5	300	\$300.00	
22. 🖾	22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4) All other situations \$100							\$200.00	
23. Scarch fee Search fee (37 CFR 1.445(a)(2) has paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500							400	\$400.00	
TOTAL OF 21, 22 and 23 =							\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							e	\$0.00	
	Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Rate (round up to a whole number)								
- 100 =		/50 00 fc		he oath or d	eclaration later than 30 m	X \$250	0.00		
			d priority date					\$0.00	
CL	AIMS		NUMBER	FILED	NUMBER EXTRA	RATE			
Total Claims				51 - 20 =	31	x \$50.00		\$1550.00	
Independent Claims 7 - 3			7 - 3 =	4	x \$200.00		\$800.00		
MULTIP:	LE DEPEN	NDE	NT CLAIMS(S) (if applic	able)	+ \$360.00		\$0.00	
TOTAL OF ABOVE CALCULATIONS =								\$3250.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.								\$0.00	
SUBTOTAL =								\$3250.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).								\$0.00	
TOTAL NATIONAL FEE =								\$3250.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$40.00		
TOTAL FEES ENCLOSED =							D =	\$3290.00	
								Amount to be refunded:	s
	_							Amount to charged:	s
a. ☐ A check in the amount of § to cover the above fees is enclosed. b. ☐ Please charge my Deposit Account No. 01-2135 in the amount of § to cover the above fees. A duplicate copy of this sheet is enclosed. c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposition Account No. 01-2135. A duplicate copy of this sheet is enclosed. d. ☒ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
	4.1.(1							ian John	20
SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 020457 ANTONELLI, TERRY, STOUT & KRAUS, LLP 1300 North 17th Street, Suite 1800 Arlington, VA 22209						Signature William I. Salaman			<u></u>
						William I. Solomon Name			
					28,5				
Registration						ı No.			

RM PTO-1390 (REV 10-2003) page 2 of 2

IN THE UNITED STATES PATENT AND TEADEMARK'OFFICE TO 25 JUL 2006

Applicants:

MIYADERA, et al.

Serial No.:

Not Yet Assigned

Filed:

July 25, 2006

Title:

Curved Optical Waveguide and Optical Device

REQUEST FOR EXAMINATION AND SEARCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 25, 2006

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (396.46365X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

William I. Solomon / Registration No. 28,565

WIS/aam 1300 North Seventeenth Street Suite 1800 Arlington, VA 22209 Telephone: (703) 312-6600

Fax: (703) 312-6666

ATTACHMENT 20 Rec'd PCT/PTO 25 JUL 2006

International Application No. 10/587170 PCT/JP2005/000703	International Filing Date	Attorney Docket No.	
PCT/JP2005/000703	January 20, 2005	396.46365X00	

- 1. International Publication No.WO 2005/071454 ***A-1 Cover Sheet
- 2. PCT Request (in Japanese);
- 3. PCT/IPEA/401;
- 4. Notification Concerning Submission or Transmittal of Priority Document
- 5. First Notice Informing the Application of the Communication of the International Application to the Designated Offices
- 6. International Search Report
- 7. Second and Supplementary Notice Informing The Applicant of The Communication of The International Application
- 8. PCT/IPEA/409 International Preliminary Examination Report (in Japanese)
- 9. PCT/IPEA/416 (in Japanese)